



WolfPAC

INTEGRATED RISK MANAGEMENT

YOU CAN'T AFFORD NOT TO COMPLY

Compliance with the growing spectrum of legal and regulatory mandates is a fundamental requirement for operating a financial institution. Instances of non-compliance are expensive; they divert attention from normal operations and increase regulatory scrutiny going forward, and in extreme situations, invite legal action.

WolfPAC's Regulatory Compliance risk assessment module allows organizations to systematically examine compliance with all relevant rules, laws, and regulations – from A to GG. The module specifically identifies risks related to all regulatory requirements, and provides a detailed analysis of the effectiveness of measures to meet each requirement.

WHEN THINGS CHANGE, YOU KNOW ABOUT IT

Questionnaire-based, the online assessment efficiently guides appropriate subject matter experts through an evaluation of all relevant variables to arrive at a meaningful risk rating for each compliance element.

WolfPAC is continually updated to reflect changes in the law, both federal and state, reducing the burden on organizations to monitor evolving rules and requirements for updates to their assessment programs.

DON'T LET YOUR HARD WORK GO TO WASTE

Once information is collected, it is analyzed against a compliance risk assessment model built on extensive industry experience and proven best practices. Further, regulatory risk assessment ratings are automatically integrated into the operations risk assessment module and the audit plan module.

The final regulatory compliance risk ratings can be used in important operational activities, including:

- Systematically monitoring and reviewing operating controls to ensure that any changes or enhancements to meet compliance standards are implemented and operating as intended
- Allocating resources to existing or potential control weaknesses or compliance issues demanding immediate attention
- Establishing a multi-year compliance audit plan and monitoring program to test the design and effectiveness of compliance control

LEARN MORE

For more information and to request a demonstration of WolfPACsm contact:

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Smart. Simple. Systematic.

“The WolfPAC Regulatory Compliance Risk Assessment allows us to analyze each element of each regulation using questions written in business language, not statutory jargon. The systematic approach is easy to use and has enabled our business line managers to evaluate the risks and our controls to develop our current risk-based compliance program.”

-Lynne A. Gino
Vice President,
PeoplesBank
\$1.4 billion in assets

WOLF
& COMPANY, P.C.

Certified Public Accountants
and Business Consultants

Insight and Integrity